



ITLV-00101

2007/11/26 PM 5:32

Dep & Ref

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application:)
Robert Olan Keith, Jr.)
Serial No.: 09/801,072)
Filed: March 6, 2001)
For: METHOD AND APPARATUS FOR)
PERFORMING A RESEARCH TASK)
BY INTERCHANGEABLY UTILIZING)
A MULTITUDE OF SEARCH)
METHODOLOGIES)

REQUEST FOR REFUND (IMPROPER
CHARGE OF DEPOSIT ACCOUNT)

162 North Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Customer No. 28960

Attn: Refund Section, Accounting Division
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated July 19, 2007 and August 29, 2007, for the above-identified patent application.

A Supplemental Information Disclosure Statement was filed on July 3, 2007, and July 13, 2007, (see copies of transmittal letters, certifications for the supplemental information disclosure statements and stamped received postcards). Deposit Account 08-1275 was debited \$180.00 on July 19, 2007, and August 29, 2007. However, a certification was filed within the three month statutory time period for each filing date. Therefore, no supplemental information disclosure statement fee should have been debited from the deposit account.

Applicant respectfully requests a credit to Deposit Account 08-1275 in the amount of \$360.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request in enclosed for this purpose.

Respectfully submitted
HAVERSTOCK & OWENS LLP

Dated: November 13, 2007

By: Jonathan O. Owens

Jonathan O. Owens
Registration No.: 37,902

Attorney for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 11/13/07 by M. Glema

ITLV-00101



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application:)
Robert Olan Keith, Jr.)
Serial No.: 09/801,072)
Filed: March 6, 2001)
For: **METHOD AND APPARATUS FOR
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BY INTERCHANGEABLY UTILIZING
A MULTITUDE OF SEARCH
METHODOLOGIES**)

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Attorney for Applicant

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HAVERSTOCK & OWENS LLP

Date: 11/13/07 by: m treema



**United States
Patent and
Trademark Office**

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Deposit Account Statement

Requested Statement Month: July 2007
Deposit Account Number: 081275
Name: HAVERSTOCK & OWENS LLP
Attention: DANIELLE DALTON
Street Address 1: 162 NORTH WOLFE ROAD
Street Address 2:
City: SUNNYVALE
State: CA
Zip: 94086
Country: UNITED STATES

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/02	14	11049313	COOL-02700	1811	-\$100.00	\$4,859.00
07/06	5823	E-REPLENISHMENT		9203	-\$1,500.00	\$6,359.00
07/09	3086	76639618	RANS-00100	7004	\$150.00	\$6,209.00
07/11	52	10763701	SONY-05200	1806	\$180.00	\$6,029.00
07/19	9	09801072	ABREAU-00101	1806	\$180.00	\$5,849.00
07/23	7170	76627981	NWH-00400	7004	\$150.00	\$5,699.00
07/23	28	PCT/US07/13002	JRIC-00100WO	1602	\$700.00	\$4,999.00
07/23	31	PCT/US07/13002		9204	\$300.00	\$5,299.00
07/23	32	PCT/US07/13002	JRIC-00100WO	1601	\$300.00	\$4,999.00
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07/30	2	11322044	CD05198	1806	\$180.00	\$4,719.00
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		\$4,759.00	\$1,940.00	\$1,900.00	\$4,719.00	

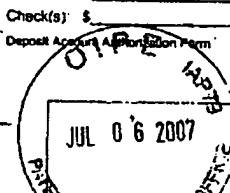
Need Help? | [USPTO Home Page](#) | [Finance Online Shopping Page](#)



COPY

Serial No.: 09/801,072 H&O File No.: ITLV-00101 By JDC/JBG
 In the Matter of The Application of: ROBERT OLAN KETTLE, JR.
 Date Mailed: July 5, 2007 Due Date: JULY 5, 2007
 The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

<input type="checkbox"/> Design Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts)	<input checked="" type="checkbox"/> Transmittal Letter	<input type="checkbox"/> Duplicate
<input type="checkbox"/> Utility Patent Application _____ Pages	<input type="checkbox"/> Form PTO-658 (Issue Fee Trans.) & dup.	<input checked="" type="checkbox"/> Certificate of Mailing	
<input type="checkbox"/> Prov. Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1050 (Cert. of Correction)	<input type="checkbox"/> Certificate of Express Mailing	
<input type="checkbox"/> Declaration/Oath	<input type="checkbox"/> Maintenance Fee Transmittal Form	Label No. _____	
<input type="checkbox"/> Power of Attorney _____	<input type="checkbox"/> Reexamination Request	<input type="checkbox"/> Check(s): \$ _____	
<input type="checkbox"/> Assignment	<input type="checkbox"/> Notice of Appeal	<input checked="" type="checkbox"/> Deposit Account Authorization Form	
<input type="checkbox"/> Form PTO-1594/1595	<input type="checkbox"/> Affidavit/Declaration		
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Copy of Filing Receipt		
<input type="checkbox"/> Drawings: _____ Sheets(s)	<input type="checkbox"/> Req. for Corr. of: _____		
<input type="checkbox"/> Formal <input type="checkbox"/> Informal			
<input checked="" type="checkbox"/> Information Disclosure Statement			
<input type="checkbox"/> Form PTO-1449 and Copies of _____ References Contained Thereon			
<input checked="" type="checkbox"/> Information Disclosure Certification			
<input type="checkbox"/> Amendment/Response			
<input type="checkbox"/> Extension of Time Request: _____ Months(s)			
<input type="checkbox"/> Petition			



JUL 6 2007

U.S. PATENT & TRADEMARK OFFICE



PATENT
Attorney Docket No.: ITLV-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert Olan Keith, Jr.

Serial No.: 09/801,072

Filed: March 6, 2001

For: **METHOD AND APPARATUS FOR
PERFORMING A RESEARCH
TASK BY INTERCHANGEABLY
UTILIZING A MULTITUDE OF
SEARCH METHODOLOGIES**

Group Art Unit: 2161

Examiner: Nguyen, Cam Linh T.

TRANSMITTAL LETTER162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Customer No. 28960

COPY

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: July 3, 2007By: Jonathan O. Owens

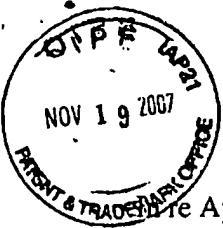
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.3(a))
I hereby certify that this paper (along with copy referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 7-3-07 By: Mary J. Brinkley

PATENTAttorney Docket No.: ITLV-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Robert Olan Keith, Jr.

Serial No.: 09/801,072

Filed: March 6, 2001

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Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: July 3, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

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HAVERSTOCK & OWENS LLP.

Date: 7-3-07 By: NOAO - 62



PATENT

Attorney Docket No.: ITLV-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert Olan Keith Jr.

Serial No.: 09/801,072

Filed: March 6, 2001

For: **METHOD AND APPARATUS FOR
PERFORMING A RESEARCH
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SEARCH METHODOLOGIES**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on April 5, 2007. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)

COPY

PATENT
Attorney Docket No.: ITLV-00101

Identification of Person Making Certification

COPY

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: July 3, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(e))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 7-3-07 By: Mary Becker

Deposit Account Statement

Page 1 of 1



**United States
Patent and
Trademark Office**

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Deposit Account Statement

Requested Statement Month:

August 2007

Deposit Account Number:

081275

Name:

HAVERSTOCK & OWENS LLP

Attention:

DANIELLE DALTON

Street Address 1:

162 NORTH WOLFE ROAD

Street Address 2:

City:

SUNNYVALE

State:

CA

Zip:

94086

Country:

UNITED STATES

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
08/02	1	09618962	LUCENT-01900	1251	\$120.00	\$4,599.00
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08/29	27	09801072	ABREAU-00101	1806	\$180.00	\$3,844.00
START BALANCE			SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
\$4,719.00			\$2,375.00	\$1,500.00	\$3,844.00	

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COPY

Serial No.: 09/1801,072 H&O File No.: ITLV-00101 By: J.O.
In the Matter of The Application of: Robert Alan Keith, Jr. *JKW*
Date Mailed: July 13, 2007 Due Date: AUGUST 16, 2007
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

<input type="checkbox"/> Design Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1533 (Notl. of Missing Parts)	<input checked="" type="checkbox"/> Transmittal Letter	<input checked="" type="checkbox"/> Duplicate
<input type="checkbox"/> Utility Patent Application _____ Pages	<input type="checkbox"/> Form PTOL-85B (Issue Fee Trans.) & dup.	<input checked="" type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Certificate of Express Mailing
<input type="checkbox"/> Prov. Patent Application _____ Pages	<input type="checkbox"/> Form PTG-1050 (Cert. of Correction)	Label No. _____	
<input type="checkbox"/> Declaration/Oath	<input type="checkbox"/> Maintenance Fee Transmittal Form		
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<input type="checkbox"/> Formal <input type="checkbox"/> Informal			
<input checked="" type="checkbox"/> Information Disclosure Statement			
<input checked="" type="checkbox"/> Form PTO-1448 and Copies of References Contained Therein			
<input checked="" type="checkbox"/> Information Disclosure Certification			
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<input type="checkbox"/> Extension of Time Request: _____ Months(s)			
<input type="checkbox"/> Petition			



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Attorney Docket No.: ITLV-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert Olan Keith, Jr.

Serial No.: 09/801,072

Filed: March 6, 2001

For: **METHOD AND APPARATUS FOR
PERFORMING A RESEARCH
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UTILIZING A MULTITUDE OF
SEARCH METHODOLOGIES**

Group Art Unit: 2161

Examiner: Nguyen, Cam Linh T.

TRANSMITTAL LETTER162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Customer No. 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria; VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: July 13, 2007

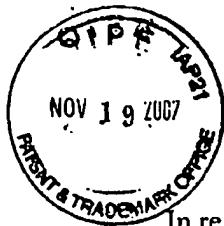
By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

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HAVERSTOCK & OWENS LLP.

Date: 7/13/07 By: Jonathan O. Owens



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Attorney Docket No.: ITLV-00101

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Robert Olan Keith, Jr.

Serial No.: 09/801,072

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} Examiner: Nguyen, Cam Linh T.

TRANSMITTAL LETTER

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HAVERSTOCK & OWENS LLP.
Date: 7/13/07 By: John D. Murphy



PATENT
Attorney Docket No.: ITLV-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2161
Robert Olan Keith Jr.) Examiner: Nguyen, Cam Linh T.
Serial No.: 09/801,072)
Filed: March 6, 2001)
For: METHOD AND APPARATUS FOR PERFORMING A RESEARCH TASK BY INTERCHANGEABLY UTILIZING A MULTITUDE OF SEARCH METHODOLOGIES) CERTIFICATION FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(e)
) 162 North Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700
) Customer No.: 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on May 18, 2007. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement; 37 C.F.R. 1.97(e)(2)

PATENT

Attorney Docket No.: ITLV-00101

COPY
Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: July 13, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

- 2 -

CERTIFICATE OF MAILING (17 CFR§ 1.3(a))
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HAVERSTOCK & OWENS LLP.

Date: July 13, 2007 By: Jonathan O. Owens



IFZ
PATENT
Attorney Docket No.: ITLV-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2161
Robert Olan Keith, Jr.) Examiner: Nguyen, Cam Linh T.
Serial No.: 09/801,072) **TRANSMITTAL LETTER**
Filed: March 6, 2001) 162 N. Wolfe Road
For: **METHOD AND APPARATUS FOR**) Sunnyvale, CA 94086
PERFORMING A RESEARCH) (408) 530-9700
TASK BY INTERCHANGEABLY) Customer No. 28960
UTILIZING A MULTITUDE OF)
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Adjustment Date: 01/02/2008 SDIRETA1
07/19/2007 RCLEMONS 00000006 081275 09801072
01 FC:1806 180.00 CR

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: July 3, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

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HAVERSTOCK & OWENS LLP.

Date: 7-3-07 By: Mark J. O'Brien



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Attorney Docket No.: ITLV-00101

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Robert Olan Keith, Jr.) Examiner: Nguyen, Cam Linh T.
Serial No.: 09/801,072) **TRANSMITTAL LETTER**
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HAVERSTOCK & OWENS LLP.

Date: 7/13/07 By: Wenick

Adjustment date: 01/02/2008 SDIRETA1
08/29/2007 RCLEMONS 00000024 081275 09801072
01 FC:1806 180.00 CR